

## Internal Audit Work 2015-16 – finalised audits

No	Department	Main Audit Review	Status *	Assurance ***	Recommendations Made**				Recommendations Agreed**			
					R	A	G	Total	R	A	G	Total
1	City of London Police	Procurement Cards	Final Report	Amber	0	8	1	9	0	8	1	9
2	City of London Police	Police Allowances and Ad-hoc Payments	Final Report	Green	0	0	0	0	0	0	0	0
3	City of London Police	Fuel Cards	Final Report	Red	1	4	0	5	1	4	0	5
4	Chamberlain's	IT Infrastructure Library (ITIL)	Final Report	Amber	0	1	10	11	0	1	10	11
5	Chamberlain's	Cloud Security	Final Report	Green	0	0	0	0	0	0	0	0
6	Chamberlain's	WiFi Security and Operations	Final Report	Red	1	4	0	5	1	4	0	5
7	CLSG	Institutional Review	Final Report	Amber	0	6	3	9	0	6	3	9
8	Open Spaces	Chingford Golf Course	Final Report	Amber	0	7	1	8	0	7	1	8
9	Built Environment	Recoverable Costs	Final Report	Green	0	0	1	1	0	0	1	1
10	City Surveyors	Investment Property Purchases and Sales	Final Report	Green	0	1	1	2	0	1	1	2





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					R	A	G	Total	R	A	G	Total	
37	Culture, Heritage and Libraries	Library Book and Audio Video Stock	Draft Report										
38	Mansion House	Security Contract Management											
39	Mansion House	Annual Plate Review	Final Report		-	-	-	-	-	-	-	-	-
40	City of London Police	Standard Operating Procedures	Draft Report										
41	City of London Police	Budget Monitoring											
42	City of London Police	International Fraud Academy	Draft Report										
43	City of London Police	Community Consultation	Final Report		-	1	-	1	-	1	-	1	1
44	City of London Police	Grant Audits											
45	City of London Police	Action Awareness											
46	City of London Police	Governance Framework	<i>Draft Terms of Reference</i>										
47	City of London Police	Income Streams and Generation											
48	City of London Freemans School	TBC											
49	City of London Schools	TBC											
50	City of London School for Girls	TBC											
51	Guildhall School of Music and Drama	Strategic Planning											
52	Guildhall School of Music and Drama	Income Generation											
53	Guildhall School of Music and Drama	Succession Planning											
54	Guildhall School of Music and Drama	Satellite Site Operations											
55	Barbican Centre	Major Incident, Security and Safety											
56	Barbican Centre	Catering	Fieldwork										
57	Barbican Centre	Customer Experience											
58	Barbican Centre	Car Parking System	Fieldwork										
59	Corporate	Procurement Compliance c/fwd	Final Report		-	-	1	1	-	-	1	1	1

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					R	A	G	Total	R	A	G	Total
60	Corporate	DCCS Departmental Review c/fwd	Final Report		-	1	6	7	-	1	6	7
61	Children and Community Services	Barbican Estates Car Parks – Strategic Review	Draft Report									

\* Status definitions \_ Fieldwork + Formal TOR Issued. Draft = Formal draft report issued. Final = Review complete and final report issued

\*\* Only completed once final report has been issued.

### Performance Indicators

Performance Measures	Target	Actual
1 Completion of audit plan	95% of planned audits completed to draft report stage by end of plan review period (31 March 2017)	26.5%
2 Timely production of draft report	Average time taken to issue draft reports within 28 days of end of fieldwork i.e. exit meeting date.	20 days
3 Timely response to draft report	Average time taken to obtain a full management response within 28 days of the draft report being issued.	27 days
4 Timely issue of final report	Average time taken to finalise the review within 7 working days on full response from management	6 days
5 Customer satisfaction	Through key question on post audit surveys – target 90%	100%
6 Percentage (%) of audit section staff with relevant professional qualification	Target 75%	78%